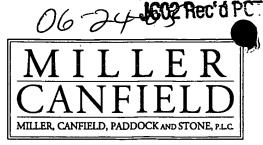
Founded in 1852
by Sidney Davy Miller

JUN 2 3 2005

BRIEN PH.D.
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June 23, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Inventors: Wen-Cherng Lee; Lihong Sun; Feng Shan; Claudio

Chuaqui; Zhongli Zheng; and Russell C. Petter

Express Mail No.: ER 308918450 US Our Reference No.: 124269/00073

**Application No.: 10/510,459** 

Title: TRI-SUBSTITUTED HETEROARYLS AND METHODS OF

MAKING AND USING THE SAME

Dear Sir:

Enclosed for filing please find:

- 1) Transmittal Form (PTO/SB/21);
- 2) Information Disclosure Statement by Applicant (PTO/SB/08A/08B) (13 sheets) and reference copies; and
- 3) Certificate of Filing by Express Mail.

We are also enclosing a post card receipt which we ask that you return to us acknowledging the date of receipt.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to ction of information unless it displays a valid OMB control number. **Application Number** 10/510.459 Filing Date **EANSMITTAL** October 4, 2004 **FORM** First Named Inventor Wen-Cherng Lee Art Unit **Examiner Name** all correspondence after initial filing) Attorney Docket Number 124269/00073 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify **Terminal Disclaimer** below): Extension of Time Request Request for Refund **Express Abandonment Request** CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD . Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Miller, Canfield, Paddock and Stone Signature Printed name ONATHAN P. O'BRIEN Date Reg. No. June 35, 2005 50.852 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

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				First Named Inventor	Wen-Cherng Lee				
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Sheet	1	of	13	Attorney Docket Number	124269/00006/00073				

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				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patented or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 3940486	02-24-1976	Fitzi, Konrad	
		US 4302464	11-24-1981	LaMattina, John L., et al.	
		US 5514505	05-07-1996	Limburg, William W., et al.	
		US 5593991	01-14-1997	Adams, Jerry L., et al.	
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		US 5604240	02-18-1997	Chambers, Mark S., et al.	
-		US 5656644	08-12-1997	Adams, Jerry LeRoy, et al.	
		US 5658903	08-19-1997	Adams, Jerry LeRoy, et al.	
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		US 5670527	09-23-1997	Adams, Jerry Leroy, et al.	
		US 5686455	11-11-1997	Adams, Jerry Leroy, et al.	
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-		US 5717100	02-10-1998	Selnick, Harold G., et al.	
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		US 5756499	05-26-1998	Adams, Jerry L, et al.	
		US 5792778	08-11-1998	De Laszlo, Stephen E, et al.	
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor	Wen-Chemg Lee		
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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patented or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 5811549	09-22-1998	Adams, Jerry L, et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patented or Applicant of Cited Document		Pages, Columns, Lines, Where	T
Initials*	ials* No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (r	MM-DD-YYYY			Relevant Passages or Relevant Figures Appear	╀
		WO 9716426	05-09-1977	DeLaszlo, Stepher	n E. et al.		$\vdash$
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		WO 9725046	07-17-1997	Adams, Jerry L, e	t al.		T
		WO 9736582	10-09-1997	Heil, Markus, et a	1.		T
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Wen-Cherng Lee	
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			U.S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patented or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 5965583	10-12-1999	Beers, Scott A., et al.	
		US 5969184	10-19-1999	Adams, Jerry Leroy, et al.	
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		WO 9825619	06-18-1998	Adams, Jerry L, et al.											
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		WO 9903480	01-28-1999	Thiemermann, Christopher, et											
		WO 9918942	04-22-1999	Alvi, Samir Ahmed, et al.											
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				First Named Inventor	Wen-Cherng Lee	
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Sheet	4	of	13	Attorney Docket Number	124269/00006/00073	

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patented or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (# known) US 6150557	11-21-2000	Adams, Jerry Leroy, et al.	i iguires Appeai
, <u> </u>		US 6180643	01-30-2001	Zablocki, Jeffery A, et al.	
		US 6214844	04-10-2001	Adams, Jerry L, et al.	
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iriidais	INO.	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (#	IVIIVI-DD-1111	Applicant of Cited Document		Relevant Figures Appear	<u> </u>
		WO 0001688	01-13-2000 Kimura, Tomio, et al.		et al.		
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		WO 0026209	05-11-2000	Revesz, Laszlo, et al. Claiborne, Christopher F, et Adams, Jerry L, et al.			
		WO 0122965	04-05-2001				
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Examiner Signature					Date Considered		

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				First Named Inventor	Wen-Cherng Lee	
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		US 6503930	01-07-2003	Hanson, Gunnar J, et al.	
		US 6511997	01-28-2003	Minami, Nobuyoshi, et al.	
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		US 6528512	03-04-2003	Gallagher, Timothy F, et al.	
		US 6548503	04-15-2003	Adams, Jerry L, et al.	
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		WO 0138324	05-31-2001	Dean, David Kenneth, et al.		
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		WO 02088107	11-07-2002	Yoshida, Ichiro, et al.		Τ
Examiner Signature				Date Considered		

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Substitute fo	or form 1449/PTO			Application Number	10/510,459		
INICO	A A TION	ו חופרו	OSLIDE	Filing Date	October 4, 2004		
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Sheet 6 of 13		Attorney Docket Number	124269/00006/00073				
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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patented or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
<del></del>		Number-Kind Code <sup>2</sup> (# known)	08.05.2002	Death and Death at all	Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Pate	nted or	Pages, Columns, Lines, Where	-
Initials*	tials* No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (r	MM-DD-YYYY	Applicant of Cited Document		Relevant Passages or Relevant Figures Appear	T
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Wen-Chemg Lee		
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-		WO 04013135	02-12-2004	Dodic, Nerina, et al.	Relevant Figures Appear	├
		WO 04013138	02-12-2004	Dodic, Nerina, et al.		$\vdash$
,		WO 04016606	02-26-2004	Gellibert, Francoise Jeanne		
	WO 04026307		04-01-2004	Blumberg, Laura Cook, et	al.	┢
· <u>-</u> ·		WO 04029043	04-08-2004	Hagihara, Masahiko, et al.		Г
		WO 04048382	06-10-2004	Beight, Douglas, Wade, et	al.	Г
Examiner Signature		WO 04048382	06-10-2004	Beight, Douglas, Wade, et  Date Considers		

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INFOR	IAOITA NA	DISC	OSLIDE	Filing Date	October 4, 2004		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Wen-Cherng Lee		
•				Art Unit			
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Sheet	Sheet 8 of 13		Attorney Docket Number	124269/00006/00073			

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Pate		Pages, Columns, Lines, Where	T.,
Initials*	No.¹	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (#	MM-DD-YYYY	Applicant of Cited Document		Relevant Passages or Relevant Figures Appear	'
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Examiner Signature					Date Considered		

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				First Named Inventor	Wen-Cherng Lee			
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		Number-Kind Code <sup>2</sup> (# known) US 20040063949	04-01-2004	Gellibert, Francoise Jeanne,	riguice Appear
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Initials*	No.¹	Country Code <sup>a</sup> Number <sup>a</sup> Kind Code <sup>b</sup> (#	MM-DD-YYYY	Applicant of Cited	Document	Relevant Passages or Relevant Figures Appear	T
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Examiner Signature					Date Considered		

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Complete if Known Substitute for form 1449/PTO **Application Number** Filing Date October 4, 2004 INFORMATION DISCLOSURE **First Named Inventor** STATEMENT BY APPLICANT Wen-Cherng Lee (Use as many sheets as necessary) Art Unit Examiner Name Attorney Docket Number 124269/00006/00073 Sheet of

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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				Examiner Name	
Sheet	11	of	13	Attorney Docket Number	124269/00006/00073

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Examiner	Date	
Signature	Considered	

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Application Number	10/510,459
RE Filing Date	October 4, 2004
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Examiner Name	
Attorney Docket Number	124269/00006/00073
	Filing Date First Named Inventor Art Unit Examiner Name

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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e for form 1449/PTO			Application Number	10/510,459	
ORMATIO	N DISC	LOSURE	Filing Date	October 4, 2004	
TEMENT	BY AP	PLICANT	First Named Inventor	Wen-Cherng Lee	
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